

# Referral Incentive Scheme Policy

## Terms and Conditions for Referring Person

- a. I/We agree that we shall not undertake any form of distribution /advisory activities in secondary market w.r.t securities or Mutual Funds and will not manage the portfolio of any person who is being referred by me/us. I/We shall strictly limit our role to Referral only.
- b. I/We hereby declare that I have no financial transaction with any person who is being referred by me/us under any kind of arrangement.
- c. I/We understand that it shall be the sole discretion of Sunflower Broking Private Limited to open accounts of the person referred by me/us and the mere fact that I/we have referred any other person shall not make it obligatory on the part of Sunflower Broking Private Limited to mandatorily open such accounts.
- d. I/We hereby declare that we shall not act or project ourselves as or hold myself/ourselves out as a Sunflower Broking Private Limited employee/Sunflower Broking Private Limited's Authorized Representative or franchisee.
- e. I/We hereby declare that we shall not print or use any letter-heads/rubber-stamps/visiting cards in the name of or containing the name of Sunflower Broking Private Limited or create any impression of any business association with Sunflower Broking Private Limited.
- f. I/We understand that we shall under no circumstances, collect any cash or blank cheque or physical shares or DIS slip of Referred Persons on behalf of Sunflower Broking Private Limited or for submission to Sunflower Broking Private Limited. I/We fully understand that the dealings of the person referred by us shall be independent from our dealings.
- g. I/We agree that we shall not enter into any type of transactions which are in the nature of trade inducement with the person referred by us.
- h. I/We fully understand that any dispute arising w.r.t. referral program, the person referred by us and me/us will not be covered under Investor Protection or Grievance Redressal Measures of the Exchanges.
- i. Decisions taken by Sunflower Broking Private Limited / its management in this regard shall be final and binding upon all the parties.

I/ We have read and understood the above terms and conditions and hereby agree to them.

### Registered Office

"Sunflower House", 5<sup>th</sup> Floor, Nr. Bhaktinagar Circle,  
Rajkot-360002

### Corporate Office

A-501, Privilon Building, Near Iscon Temple, SG Highway,  
Bodakdev, Ahmedabad-380054

## Terms and Conditions for Referred Person

- a. I/We hereby declare that I have no financial transaction with any person who is referring me/us under any kind of arrangement.
- b. I/We understand that it shall be the sole discretion of Sunflower Broking Private Limited to process my KYC and open my/our account and the mere fact that I have been referred by any other person shall not make it obligatory on the part of Sunflower Broking Private Limited to mandatorily open my/our accounts.
- c. I/We hereby declare that we shall not act or project ourselves or hold myself / ourselves out as a Sunflower Broking Private Limited employee / Sunflower Broking Private Limited's Authorized Representative or franchisee.
- d. I/We hereby declare that we shall not print or use any letter-heads/rubber-stamps/visiting cards in the name of or containing the name of Sunflower Broking Private Limited or create any impression of any business association with Sunflower Broking Private Limited.
- e. I/We agree that I/we shall not share my password or authorize the person referring me / us to trade on our behalf and shall ensure that I / we are trading of our own volition and not being induced to trade by the person referring me/us or by any other person.
- f. I/We fully understand that any dispute arising w.r.t. referral program, the person referring me/us and me/us will not be covered under Investor Protection or Grievance Redressal Measures of the Exchanges.
- g. I/We fully understand that Sunflower Broking Private Limited is bound to follow all notifications/circulars from the Exchanges/ SEBI from time to time and any subsequent modification/amendment would equally be binding.

I/ We have read and understood the above terms and conditions and hereby agree to them..

**For, Sunflower Broking Private Limited**

*B.V.*

**Mr. Bhavik Vora**  
Director

